

03/06/97

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69652 U.S. PTO

~~00/013029~~

DIVISIONAL CONTINUATION PROGRAM APPLICATION TRANSMITTAL FORM

03/06/9

ANTICIPATED CLASSIFICATION OF THIS APPLICATION:

PRIOR APPLICATION: 08/217,921

CLASS: 435

SUBCLASS:

EXAMINER: Stanton, B.

ART UNIT: 1819

To the Assistant Commissioner for Patents:

This is a request for filing a continuation application under 37 CFR 1.60, of pending prior application serial No. 08/217,921 filed on March 25, 1994, of Hogan, Brigid L.M. for "PLURIPOTENTIAL EMBRYONIC STEM CELLS AND METHODS OF MAKING SAME".

1. Enclosed is a copy of the latest inventor-signed prior application, including the drawings and oath or declaration as originally filed.

I hereby verify that the attached papers are a true copy of the latest signed prior application serial No. 08/217,921 as originally filed on March 25, 1994, and that no amendments referred to in the oath of declaration filed to complete the prior application introduced new matter therein, and further that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	17 - 20 = 0	0	x \$22.00	\$ -0-
	INDEPENDENT CLAIMS	5 - 3 = 2	2	x \$78.00	\$ 156.00
	MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE) =			+ \$250.00	\$ -0-
	BASIC FEE =				\$ 770.00
	TOTAL OF ABOVE CALCULATIONS =				\$ 926.00
	REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY (NOTE 37 CFR 1.9, 1.27, 1.28) IF APPLICABLE, AFFIDAVIT MUST BE FILED ALSO.				\$ -(463.00)
	TOTAL NATIONAL FEE =				\$ 463.00

- | | | |
|----|-------------------------------------|--|
| 2. | <input checked="" type="checkbox"/> | A verified statement to establish small entity status under 37 CFR 1.9 and 1.27: |
| | <input type="checkbox"/> | is enclosed |
| | <input checked="" type="checkbox"/> | was filed in prior application Serial Number 08/217,921 and such status is still proper and desired (37 CFR 1.28(a)). |
| 3. | <input checked="" type="checkbox"/> | The Commissioner is hereby authorized to charge any additional fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 14-0629. |
| 4. | <input checked="" type="checkbox"/> | A check in the amount of \$463.00 is enclosed. |
| 5. | <input checked="" type="checkbox"/> | Cancel in this application original claims 5, 6, 8, 9, 10, 13, 14, 15-24, 29 and 32 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.) |
| 6. | <input checked="" type="checkbox"/> | The inventor(s) of the invention being claimed in this application is(are): Hogan, Brigid L.M. |
| 7. | <input type="checkbox"/> | This application is being filed by less than all the inventors named in the prior application. In accordance with 37 CFR 1.60(b), the Commissioner is requested to delete the name(s) of the following persons who are not inventors of the invention being claimed in this application: |
| 8. | <input checked="" type="checkbox"/> | Amend the specification by inserting before the first line the sentence: |

This application is a continuation of application serial No. 08/217,921, filed March 25, 1994, which status is allowed, and which is a continuation-in-part and a divisional of U.S. Serial No. 958,562, filed October 8, 1992, now U.S. Patent No. 5,453,357.

9. ☐ New formal drawings are enclosed.

10. ☐ Priority of foreign application number, filed on in is claimed under 35 U.S.C. 119.
☐ The certified copy has been filed in prior application Serial No., filed on

11. ☒ A preliminary amendment is enclosed.

12. ☒ The prior application is assigned of record to: Vanderbilt University

13. ☐ Also enclosed is/are:

14. ☒ The Power of Attorney in the prior application is to: William H. Needle (Reg.No. 26, 209); Sumner C. Rosenberg (Reg. No. 28,753); David G. Perryman (Reg. No. 33,438); Gwendolyn D. Spratt (Reg. No. 36,016); Alan L. Cassel (Reg. No. 35,842); and William L. Warren (36,714)

a. ☒ The Power of Attorney appears in the original papers in the prior application.

b. ☐ Since the Power does not appear in the original papers, a copy of the power in the prior application is enclosed.

c. ☒ Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)

David G. Perryman, Esquire
NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler Building
127 Peachtree Street, N.E.
Atlanta, GA 30303-1811

15. David G. Perryman
REG. NO. 33,438

David G. Perryman
SIGNATURE

3-6-97
DATE

- ☐ Inventor(s)
- ☐ Assignee of complete interest
- ☒ Attorney or agent of record
- ☐ Filed under 37 CFR 1.34(a)
(Registration No. is acting under 37 CFR 1.34(a):

EXPRESS MAIL NO. EM118649831US

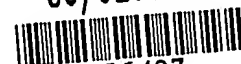
CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Invoice No. EM118649831US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 6 day of March 1997.

Harriet Rabun
Harriet Rabun

3/6/97
DATE

08/813829



03/06/97

DOCKET NO. 1616.002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler
Building
127 Peachtree Street, N.E.
Atlanta, Georgia 30303-1811

March 25, 1994

Dear Sir:

Transmitted herewith for filing are the specification and
claims of the patent application of:

Inventor(s): B.L.M. Hogan

Title of Invention: PLURIPOTENTIAL EMBRYONIC STEM CELLS AND
METHODS OF MAKING SAME

Also enclosed are:

7	SHEETS OF	<input type="checkbox"/> FORMAL DRAWINGS	<input checked="" type="checkbox"/> INFORMAL DRAWINGS
	OATH OR DECLARATION OF APPLICANT(S)		
	A POWER OF ATTORNEY		
	A PRELIMINARY AMENDMENT		
	A VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS UNDER 37 C.F.R. §1.19 AND §1.27		
	A CHECK IN THE AMOUNT OF TO COVER THE FILING FEE.		
	A CERTIFIED COPY OF PREVIOUSLY FILED FOREIGN APPLICATION NO. FILED IN ON .		
X	<p>I hereby certify that this correspondence is being placed in the United States Mail as Express Mail No. 1B561330858US on <u>25th</u> day of <u>MARCH</u>, 1994.</p> <p><u>Malcolm M. Hogan</u> 3-25-94</p> <p>SIGNATURE DATE</p>		
	OTHER (IDENTIFY)		

08/813829-100697

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

TOTAL CLAIMS = 36 - 20 = 16 x \$22.00 =	\$352.00
INDEPENDENT CLAIMS = 13 - 3 = 7 ¹⁰ x \$74.00 =	\$518.00 740.00
BASIC FEE =	\$710.00
TOTAL OF ABOVE CALCULATIONS =	\$1580.00
REDUCTION BY 1/2 FOR SMALL ENTITY =	\$790.00
TOTAL FILING FEE =	\$790.00

1802.00
901.00
901.00

Respectfully submitted,

David G. Perryman

David G. Perryman
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